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## ABSTRACT

Carbon ion implantation mediated blue photoluminescence (PL) and the corresponding bluish white phosphorescence of anodic aluminum oxide (AAO) are presented. In particular, the observed 465 nm luminescence is found to be more sensitive to carbon, while its lifetime is raised to its maximum from 6.7 to 10.4  $\mu$ s at a fluence of  $1 \times 10^{16}$  ions/cm<sup>2</sup>. The observed phenomenon seems to be associated with the formation of oxygen vacancy ( $V_O$ ) via carbon ion enhanced modification of local  $AlO_6$  octahedral symmetry, as revealed from X-ray absorption spectroscopy at O-K edge, and also consistent with X-ray photoelectron spectroscopy (XPS). Detailed XPS analysis indicates the preference of carbon at the Al sites, rather than at O for both octahedral and tetrahedral structures, and form  $C_{Al}$  cationic impurity, consistent with our density functional theory calculation. Further, carbon ion implantation driven enhanced PL lifetime is shown to be associated with energy transfer between  $V_O$  and the vicinal  $C_{Al}$ . This work shows the potential of carbon doped AAO as a future candidate for developing rare earth free nontoxic phosphor.

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## INTRODUCTION

Owing to the very reactive nature of aluminum, it is highly probable to oxidize in air and thereby form few nanometer thick oxide layers to prevent it from further oxidation. However, a thicker oxide layer (even up to few micrometers) can be formed on aluminum by electrochemical anodization with desirable engineering properties, such as excellent hardness, abrasion, and corrosion resistance,<sup>1</sup> though it can form either nonporous or porous layers based on the type of electrolytes. Especially, the porous anodized aluminum oxide (AAO) received considerable attention for serving as a good adhesion base for electroplating, semipermanent decorative coloration, and painting.<sup>2</sup> Moreover, AAO with self-ordered hexagonal porous structures have unique optical,<sup>3</sup> electrical,<sup>4</sup> and transport properties,<sup>5</sup> which make them promising for a wide range of applications such as microelectronics,<sup>6</sup> radiation dosimetry,<sup>7</sup> chemical sensor,<sup>8</sup> bio-sensor,<sup>9</sup> drug delivery,<sup>10</sup> etc. Both visible range photoluminescence (PL) and nontoxicity of AAO differentiate it from other materials, and thus, it becomes an

extraordinary candidate for label-free biomarker detectors,<sup>11</sup> besides being used as photonic crystals,<sup>12</sup> polarizers,<sup>13</sup> and optical switches.<sup>14</sup> However, porous AAO is not preferred for corrosion protection as the entrapped acid molecules within the pores can itself cause damage to the parent material.<sup>2</sup> The optical properties of amorphous AAO are known to be associated with various defect centers, especially oxygen vacancies ( $V_O$ ) in different forms such as F center ( $V_O$  with two electrons) and  $F^+$  centers (singly ionized oxygen vacancies,  $Vo$ ) as well as carbon related impurities.<sup>15</sup> In this respect, PL is a promising technique to explain the nature of the defects and their spatial distribution. For instance, Yang *et al.* demonstrate the emission of a broad blue PL band from AAO mostly due to the involvement of  $F^+$  centers,<sup>16</sup> whereas Huang *et al.* reported the importance of both F and  $F^+$  centers in PL.<sup>17</sup> Moreover, Yamamoto *et al.* elucidated the active role of carbon impurity (appears from the oxalic acid under a high electric field) in AAO behind strong PL,<sup>15</sup> but Azevedo *et al.* showed the appearance of weak PL from amorphous AAO when sulfuric acid was employed as an electrolyte.<sup>18</sup> Apart from the reports about strong

and tunable luminescence from carbon dots in different environmental states,<sup>19,20</sup> several literatures exist on carbon impurities based luminescent materials like  $\text{Al}_2\text{O}_3\text{-SiO}_2$  porous glasses,<sup>21</sup> carbon doped boron-oxynitride phosphor,<sup>22</sup> yttrium-aluminum-borates,<sup>23</sup> etc. However, the exact role of such carbon in controlling the luminescence mechanism is still not well understood and conclusive. Although the role of carbon impurity in AAO has been examined in some recent theoretical works,<sup>24,25</sup> there is no experimental evidence to identify the exact location of carbon especially to govern the luminescence property. It is, however, known that Al can occupy both tetrahedral ( $\text{AlO}_4$ ) and octahedral ( $\text{AlO}_6$ ) sites in amorphous  $\text{Al}_2\text{O}_3$  in different proportion where the fraction can be altered by changing electrolytes.<sup>26</sup> A similar phenomenon has also been observed by changing AC to DC voltage during synthesis of AAO layers in sulfuric acid, yielding a ( $\text{AlO}_4$ )/( $\text{AlO}_6$ ) ratio of 70:30 and 60:40.<sup>26</sup> However, the porous layers were found to be made of only tetrahedrally coordinated Al or even lower coordination when synthesized in phosphoric acid.<sup>27</sup> Hashimoto *et al.* further showed the formation of porous AAO in sulfuric and oxalic acids containing 50%–60%  $\text{AlO}_5$ , 30%–40%  $\text{AlO}_4$ , and 4%–15%  $\text{AlO}_6$  polyhedral units.<sup>28</sup> Nevertheless, it has been shown recently that lower coordinated polyhedral units and so  $V_{\text{O}}$  can be introduced in the metal oxide due to a sudden loss of ligand O from the octahedral sites.<sup>29</sup> Hence, understanding the type of impurity mediated defects and local structures in governing PL emission is challenging.

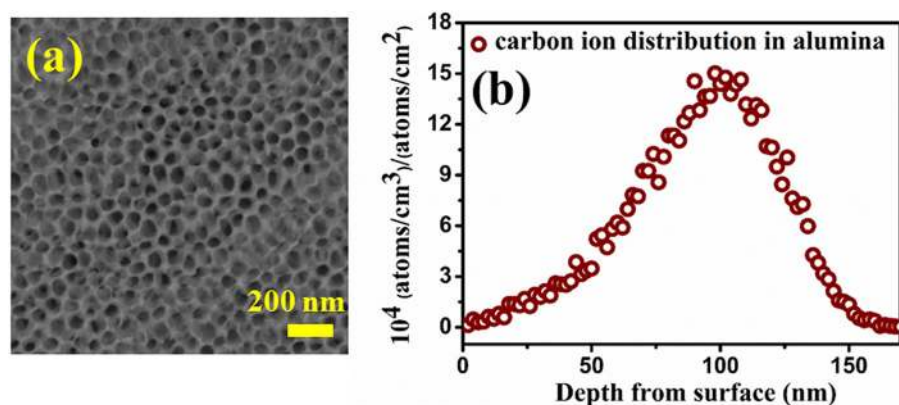
In this article, we demonstrate the role of carbon impurity in AAO and the subsequent formation of  $V_{\text{O}}$  via local structure modification by carbon ion implantation for achieving controlled PL emission at room temperature (RT). We will show detailed PL and phosphorescence analyses on both as-grown and carbon implanted samples for understanding the mechanism, including PL lifetime. The variation of average lifetime with increasing ion fluence ( $\text{ions/cm}^2$ ) will be discussed in the light of direct participation of  $V_{\text{O}}$  and cationic defects  $C_{\text{Al}}$  and also their cross-talking probability. This will be supported by X-ray photoelectron spectroscopy (XPS) and X-ray absorption spectroscopy (XAS) analyses, especially at the O-K and C-K edges, and also by density functional theory (DFT) calculation.

## EXPERIMENTAL

About  $2\ \mu\text{m}$  thick porous AAO layer, with an average pore diameter of  $\sim 60\ \text{nm}$  [see Fig. 1(a)], was prepared by anodizing 0.1 mm thick aluminum (Al) foil (purity:  $\sim 99.99\%$ ) using oxalic acid as an electrolyte for 30 min under a constant voltage supply of 30 V with copper as a counter (cathode) electrode, followed by 30 min dipping in 0.5M phosphoric acid.<sup>7</sup> As-grown AAO ( $Q_0$ ) was implanted at room temperature by 50 keV  $\text{C}^-$  ions (normal incidence) with fluences of  $5 \times 10^{13}$ ,  $5 \times 10^{14}$ , and  $1 \times 10^{16}\ \text{ions/cm}^2$ , where the corresponding samples are named as  $Q_1$ ,  $Q_2$ , and  $Q_3$ , respectively, in the following for simplicity. Throughout the experiment, the beam current has been maintained at  $\sim 1\ \mu\text{A}$ . The implantation has been carried out in a negative ion implanter at IUAC, New Delhi, India. The Stopping and Range of Ions in Matter (SRIM) calculations have been performed for estimating the depth profile of implanted ions before the experiment,<sup>30</sup> where the distribution of ions inside the  $\text{Al}_2\text{O}_3$  layers has been shown in Fig. 1(b), showing a maximum penetration depth of 50 keV  $\text{C}^-$  ions to be  $\sim 160\ \text{nm}$ .

The pore formation was verified by scanning electron microscopy (SEM) using a TESCAN system (MIRA II LMH). Photoluminescence and phosphorescence measurements were carried out in a Horiba Fluorolog-3 Spectrofluorometer using the 325 nm excitation line of a 450 W Xenon lamp at RT. Time-resolved PL was accomplished in Edinburgh FL920 Fluorescence Life Time Spectrometer. Further, the XPS measurement was carried out in Omicron EA-125 photoelectron spectrometer integrated with a hemispherical analyzer and by using  $\text{Al-K}\alpha$  radiation (energy of 1486.6 eV). The calibration of the binding energy (BE) scale was done with respect to the measured kinetic energy of the gold Fermi level. Prior to the XPS measurement, the sample surfaces have been sputtered with 1 keV  $\text{Ar}^+$  ions for 10 min to remove the surface contaminants including adsorbed hydrocarbons and water molecules. The local electronic structure was analyzed by XAS at the beamline BL-1 of the Indus-2 synchrotron radiation facility (storage ring energy: 2.5 GeV) at Raja Ramanna Centre for Advanced Technology (RRCAT) Indore, India.

Structural relaxation and electronic structure calculations have been performed using density functional theory (DFT), as



**FIG. 1.** (a) Top-view SEM image of an as-grown AAO film having an average pore diameter of  $\sim 60\ \text{nm}$ . (b) The SRIM simulation representing the distribution of 50 keV carbon ion in the alumina layer with the maximum penetration depth to be  $\sim 160\ \text{nm}$ .

implemented in the Vienna *ab initio* simulation package (VASP).<sup>31,32</sup> Perdew-Burke-Ernzerhof (PBE) functional form of the generalized gradient approximation (GGA) for the electronic exchange and correlation is used.<sup>31,33</sup> The kinetic energy cutoff is set to 600 eV for the plane wave expansion of the wave function. All the structures are completely relaxed until the component of the Hellmann-Feynman forces is less than 0.005 eV/Å. We have considered the optimization of cell shape and positions of atoms. Monkhorst-Pack *k*-point mesh is used with a very dense grid ( $9 \times 7 \times 9$ ) for structural relaxation and the convergence of charge density.

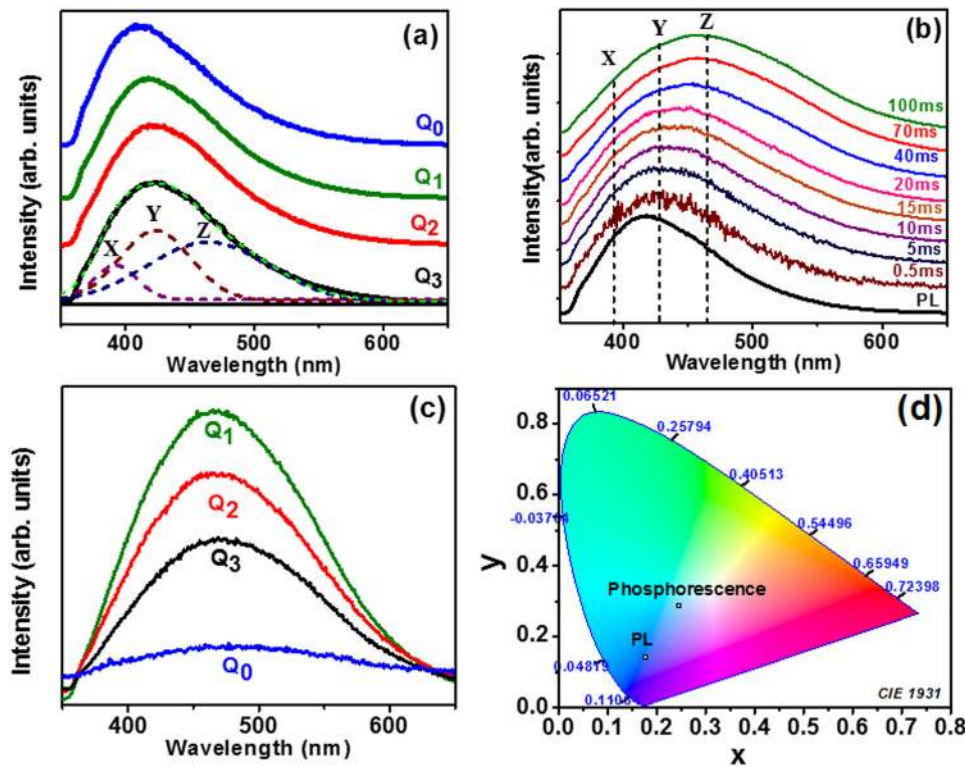
We consider the carbon impurities in octahedral (orthorhombic phase) and tetrahedral (tetragonal phase) sites of Al and O in  $\text{Al}_2\text{O}_3$ . Next, we calculated the defect formation energies of those impurities, which is defined as follows:

$$E^f = E_{total}^{Defect} - E_{total}^{bulk} + \sum n_i \mu_i,$$

where  $E_{total}^{bulk}$  is the total relaxed energy of the bulk supercell without defect,  $E_{total}^{Defect}$  is the total relaxed energy of the supercell, which contains the defect,  $n_i$  is the number of atoms of the  $i^{\text{th}}$  element that is going to be added to ( $n_i > 0$ ) or removed ( $n_i < 0$ ) from the pristine supercell, and  $\mu_i$  is the chemical potential of the  $i^{\text{th}}$  element. A six-unit cell of alumina has been considered for bulk and defect calculations, which contains 30 atoms.

## RESULT AND DISCUSSION

Figure 2(a) represents room temperature PL spectra for both as-prepared ( $Q_0$ ) and ion implanted AAO layers, showing a broad asymmetric PL emission centered at  $\sim 410$  nm. All the spectra were deconvoluted independently by Gaussian fitting, where the three fitting components of  $Q_3$  peaking at  $\sim 390$ , 425, and 465 nm are superimposed for clarity, referred as X, Y, and Z, respectively, in the following. While the origin of X and Y is considered to be associated with  $V_O$  with one and two electron(s), called as  $F^+$  and  $F^-$  centers, respectively,<sup>7,34</sup> no considerable attention has yet been given to understand the origin of Z component, except predicting the involvement of carbon impurity from oxalic acid.<sup>15,35</sup> Since no significant change in PL spectra was found here with increasing ion fluence, we further carried out phosphorescence measurement to identify different components by varying the sample window of  $Q_1$  (i.e., detection window length) from 0.5 to 100 ms by keeping the initial delay of 0.1 ms [see Fig. 2(b)]. Note that the phosphorescence measurement is performed here to identify the emission components with relatively long lifetimes.<sup>36</sup> Therefore, with increasing the sample window, the emission component with shorter lifetime will be disappeared by a parallel increase in weightage of the relatively long lifetime components. A gradual shift in peak maximum toward higher wavelength side was found with increasing sample window before reaching the saturation at 70 ms. The deconvolution of the recorded phosphorescence spectra suggests a gradual augmentation of Z (i.e., this component has a longest lifetime) by a parallel suppression of the X and Y peak weightages. Since the Z component is



**FIG. 2.** (a) The PL spectra recorded at RT for both pristine ( $Q_0$ ) and implanted ( $Q_1$ ,  $Q_2$ , and  $Q_3$ ) samples. The PL spectra of  $Q_3$  deconvoluted by three Gaussian peaks X, Y, and Z. (b) Recorded phosphorescence spectra of  $Q_1$  by varying the sample window from 0.5 to 100 ms. (c) Phosphorescence from  $Q_0$ ,  $Q_1$ ,  $Q_2$ , and  $Q_3$ , and (d) the chromaticity diagram representing the emission color of both PL and phosphorescence of  $Q_1$ .



prominent in 70 ms window, the respective spectra for all four samples are plotted in Fig. 2(c) for comparison. A broad emission band with a peak maximum at ~ 465 nm [resembled with the Z peak in Fig. 2(a)] has been detected to be nonmonotonic in nature with increasing carbon concentration [Fig. 2(c)]. The highest Z peak intensity was found in Q<sub>1</sub>, followed by the suppression of intensity with increasing ion fluence. However, the phosphorescence intensity of Q<sub>3</sub> is more than that of Q<sub>0</sub>. The origin and the enhancement of Z peak intensity indicate that the associated defect centers are related to the implanted carbon. However, the decrease in peak intensity with increasing carbon ion fluence could be due to the formation of implantation induced nonradiative centers. Further, the chromaticity diagram [Fig. 2(d)] identifies the phosphorescence spectra of the Q<sub>1</sub> sample as bluish white emission in contrast to its deep blue PL emission.

The PL decay curves, recorded at 465 nm, for both pristine and implanted samples are exhibited in Fig. 3, showing a distinct change in shape for Q<sub>3</sub>. The changes in other two implanted samples (Q<sub>1</sub> and Q<sub>2</sub>) are not that much significant. All decay curves were fitted with multiexponential decay function  $I = I_0 + \sum_{i=1}^n \beta_i e^{-t/\tau_i}$ , where  $\tau$  is the decay time and  $\beta_i$  represents the exponential fitting amplitudes. The extracted fitting parameters are summarized in Table I, while a typical fitting profile of Q<sub>3</sub> is displayed in the inset of Fig. 3. As can be seen from Table I, each curve was best fitted by three exponential components. The average decay time ( $\tau_{avg}$ ) has also been calculated by  $\tau_{avg} = \frac{\sum_{i=1}^n \alpha_i \tau_i^2}{\sum_{i=1}^n \alpha_i \tau_i}$  for getting an impression of the overall effect of carbon ions on the 465 nm PL emission, where  $\alpha$  denotes the relative contribution, determined by  $\alpha_i = \frac{\beta_i}{\sum_{i=1}^n \beta_i}$ .<sup>37</sup> The  $\tau_{avg}$  of Q<sub>0</sub> is calculated to be ~6.7  $\mu$ s, while it reaches to ~10.4  $\mu$ s for Q<sub>3</sub>. The reason behind the enhancement of  $\tau_{avg}$  will be discussed in the following.

For understanding the observed PL properties, change in the chemical environment in AAO in the presence of carbon was further investigated by XPS at the O 1s and C 1s edges. High

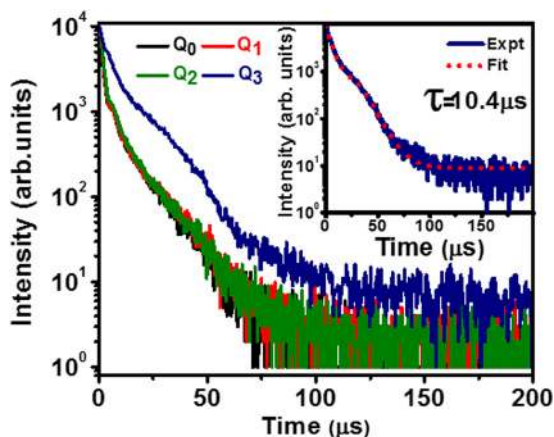


FIG. 3. PL decay curves of Q<sub>0</sub>, Q<sub>1</sub>, Q<sub>2</sub>, and Q<sub>3</sub>, where fitted data for Q<sub>3</sub> are shown in the inset.

TABLE I. Fitted data of the PL decay curves of AAO layers before and after carbon ion implantation, where  $\tau$  and  $\alpha$  represent the lifetime and relative contribution of the fitting components, respectively. The average lifetime is  $\tau_{avg}$ .

Sample	$\alpha_1$	$\tau_1(\mu s)$	$\alpha_2$	$\tau_2(\mu s)$	$\alpha_3$	$\tau_3(\mu s)$	$\tau_{avg}(\mu s)$
Q <sub>0</sub>	0.77	1.35	0.16	7.00	0.07	12.71	6.74
Q <sub>1</sub>	0.76	1.47	0.17	6.59	0.07	13.43	6.81
Q <sub>2</sub>	0.77	1.85	0.16	7.25	0.06	13.41	6.44
Q <sub>3</sub>	0.36	5.51	0.19	12.91	0.45	11.03	10.36

resolution XPS spectra at the C 1s edge for Q<sub>0</sub>, Q<sub>1</sub>, and Q<sub>3</sub> are exhibited in Fig. 4(a), showing two components [i.e., uncharged carbon (C<sub>ch</sub>) and charged carbon (C<sub>uc</sub>)], where C<sub>ch</sub> component is significantly shifted toward the high BE side by ~5.5 eV due to

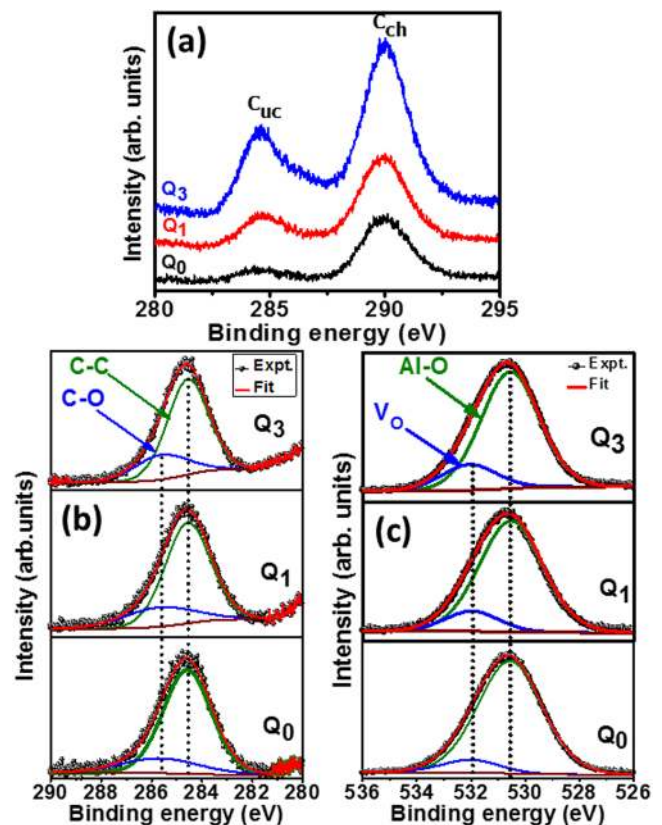


FIG. 4. (a) High resolution C 1s core level spectra of Q<sub>0</sub> and carbon implanted (Q<sub>1</sub> and Q<sub>3</sub>) samples. (b) Deconvoluted C 1s (C<sub>ch</sub> shifted to characteristic energy) peak for Q<sub>0</sub> (bottom panel), Q<sub>1</sub> (middle panel), and Q<sub>3</sub> (top panel). The experimental data and fitted curves are shown by black circles and thick red lines, respectively. The background subtraction curves are also shown in brown, while the deconvoluted components for C—C and C—O are highlighted by olive green and blue color, respectively. Corresponding deconvoluted O 1s peak (after shifting as like in the case of C 1s) shown in (c) for Q<sub>0</sub> (bottom panel), Q<sub>1</sub> (middle panel), and Q<sub>3</sub> (top panel).

surface charging, while the intensity of the characteristic peak at  $\sim 284.5$  eV ( $C_{uc}$ ) from the conducting zone is found to be enhanced gradually with increasing ion fluence. This nonuniform charging in C 1s spectra appears due to the presence of two types of carbon atoms: one participates in the formation of the conducting region ( $C_{uc}$ ) and the other creates bonds in a highly insulating alumina matrix ( $C_{ch}$ ). This is known as “differential charging” effect, which is beneficial for understanding the chemical states of carbon.<sup>7,38</sup> In fact, when a heterogeneous matrix having at least two regions of different electrical properties is exposed to X-rays, a differential charging effect can occur. This results in developing a positive potential in the less conductive region of the heterogeneous matrix, also known as charging effect. Due to this, the kinetic energy of emitted photoelectrons from an insulating surface decreases and, therefore, shifts the nonconductive component to the higher binding energy region.<sup>39</sup> For detailed understanding, the  $C_{ch}$  peak was, therefore, shifted to 284.5 eV before deconvolution in CASA-XPS software using Voigt function (70% Gaussian + 30% Lorentzian) by two components [Fig. 4(b)], representing the existence of C–C (284.5 eV) and C–O (285.6 eV) bonds.<sup>40,41</sup> Note that the Doniach-Sunjić function has often been used to fit the aromatic C 1s region from  $sp^2$  carbon, due to the observed asymmetry arising from the interaction of the positive inner core hole with valance electrons.<sup>42,43,44</sup> However, the contributions from the  $sp^3$  C 1s signal and other functional groups can be fitted using the standard symmetric fitting protocol with a combination of Lorentzian/Gaussian shape, i.e., Voigt function. Since we are working on the AAO layer doped with C ions, therefore, the contribution of the  $sp^3$  C 1s signal would be preferable, especially for the  $C_{ch}$  component.<sup>42,43</sup> An estimate about relative percentages of C–C and C–O in samples under investigation is summarized in [supplementary material Table S1](#), documenting a systematic increase of the later component with increasing ion fluence. The key finding is that no C–Al bond formation has been identified in the presently investigating samples. Preferential bonding has been discussed in the following with the help of the DFT calculation. Similarly, O 1s spectra were also deconvoluted with two peaks located at  $\sim 531.9$  and 530.6 eV in the BE scale [see Fig. 4(c)], where the later peak is known to be associated with the oxygen in Al–O bonds<sup>41,45</sup> and the former one is most probably associated with  $V_O$ .<sup>46</sup> The fitting results are summarized in [supplementary material Table S1](#), which reflects a systematic rise in the 531.9/530.6 eV peak intensity ratio with increasing fluence.

Moreover, the samples were characterized by XAS, where the typical spectra at the O–K edge are displayed in Fig. 5(a). We should note here that in the case of octahedral (tetrahedral) rich complex materials, metal  $e_g$  ( $t_2$ ) makes a strong overlap with the neighboring orbitals of O  $2p$ .<sup>47</sup> However, both octahedral and tetrahedral coordination sites exist in different ratios in amorphous  $Al_2O_3$ . As discerned, the recorded spectra can be divided into two regimes: (i) 530–545 eV having two main features  $a_1$  and  $a_2$ , which are most likely associated with the transitions to the unoccupied mixed states of O  $2p$  and crystal-field splitted Al- $t_{2g}$  ( $e$ ) and Al- $e_g$  ( $t_2$ ) of Al  $3d$ , and (ii) 545–570 eV, marked by  $a_3$ , due to the mixing of O  $2p$  with Al  $4s$  and Al  $4p$  states.<sup>47</sup> Interestingly, the  $a_1$  and  $a_2$  peak intensity ratio was found to be altered in the case of  $Q_3$ , which resembles well with amorphous  $Al_2O_3$ , as reported

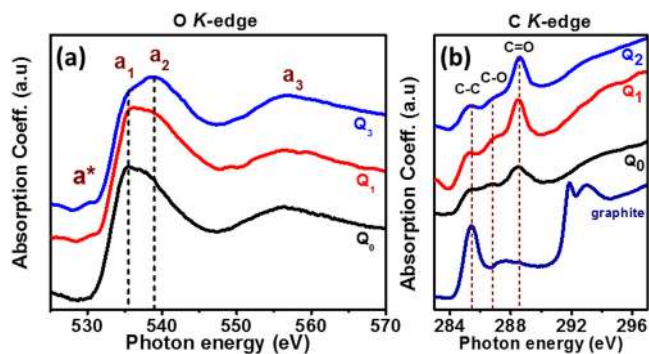
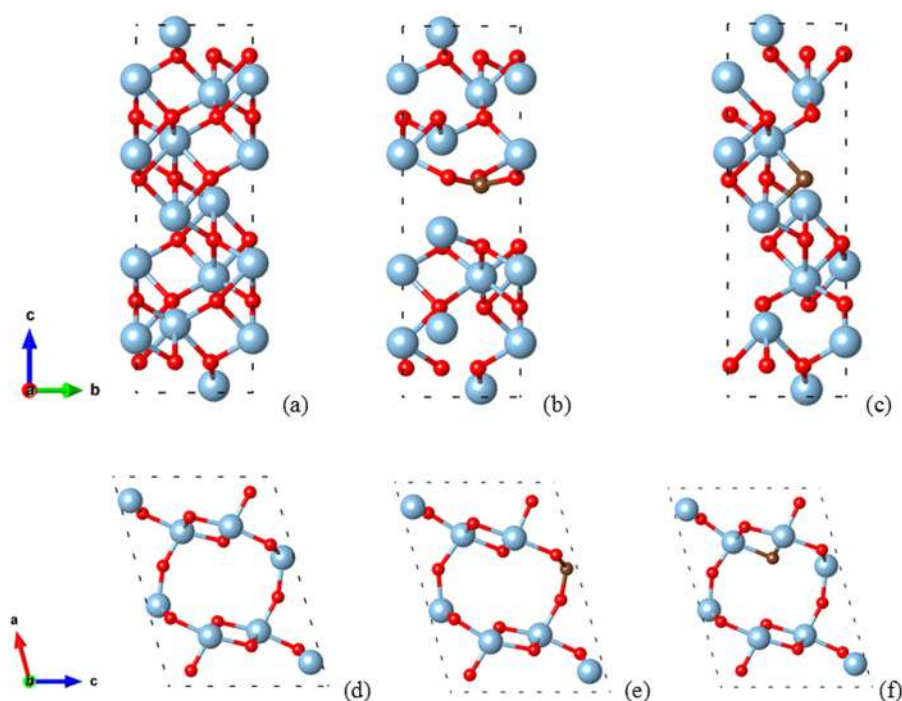


FIG. 5. XAS spectra of (a) O–K edge and (b) C–K edge for  $Q_0$ ,  $Q_1$ , and  $Q_3$  along with the one for graphite as reference.

previously by Wang *et al.*<sup>48,49</sup> The decrease in the  $a_1$  and  $a_2$  peak intensity ratio in  $Q_3$  further indicates the formation of both penta ( $AlO_5$ ) and tetra ( $AlO_4$ ) coordinated Al by deforming the existing  $AlO_6$  octahedra via removal of ligand O ( $O^{2-}$ ) from the regular position and in turn supports the formation of  $V_O$ .<sup>50</sup> The upsurge of  $V_O$  with fluence [as stated above in Fig. 4(c)] is also consistent with the rising trend of the pre-edge (marked by  $a^*$ ) intensity.<sup>51</sup>

The XAS at the C–K edge was also examined to understand the average oxidation state and local atomic coordination as a function of ion fluence. The recorded spectra in Fig. 5(b) can be separated into two different regimes: (i)  $\sigma^*$  ( $>290$  eV) and (ii)  $\pi^*$  ( $<290$  eV) states. While the former one comprises C  $2s$ ,  $2p_x$ , and  $2p_y$  orbitals, the latter one made up of C  $2p_z$  orbital is very sensitive to the nature of the functional groups attached to carbon.<sup>52,53</sup> Comparing the XAS spectra of  $Q_0$ ,  $Q_1$ , and  $Q_3$  with (reference) graphite, the peak at  $\sim 285.4$  eV can be assigned to C–C bonds (transition from  $1s$  to  $\pi^*$  in  $sp^2$ -bonded carbon), whereas the ones at  $\sim 286.5$  and  $\sim 288.6$  eV peaks are associated with  $\pi^*$  components of C–O and C=O, respectively.<sup>54,55</sup>

To understand the local (octahedral or tetrahedral) structure in alumina and preferred site of carbon, we did the DFT calculation using the Vienna *ab initio* simulation package (VASP).<sup>31,32</sup> In the orthorhombic phase [Fig. 6(a)], all the Al atoms are located at octahedral sites. All the Al atoms are surrounded by six oxygen atoms, and the nearest neighbor distance for three of them is 1.98 Å, and for the other three, it is 1.87 Å. The optimized structures of  $C_{Al}$  and  $C_O$  in the orthorhombic phase of  $Al_2O_3$  are shown in Figs. 6(b) and 6(c), respectively. Moreover, in the tetragonal phase [Fig. 6(d)], all the Al atoms are located at tetrahedral sites. The nearest neighbor distance for two of them is 1.98 Å, and for the other two, it is 1.82 Å. Here, Figs. 6(e) and 6(f) represent the optimized structures of  $C_{Al}$  and  $C_O$  in the tetragonal phase of  $Al_2O_3$ , respectively. The formation energies of  $C_{Al}$  (substitution of Al by C) are estimated to be 5.40 and 6.88 eV in tetrahedral and octahedral sites, respectively, and the substitution of O by C atom (i.e.,  $C_O$ ) leads to 10.29 and 10.39 eV for the orthorhombic and tetragonal phases of  $Al_2O_3$ , respectively. Therefore, it is clear that carbon prefers the Al site than that of O in any structure, in good agreement with our XPS results in Fig. 4. As the XAS indicates the formation of lower



**FIG. 6.** Optimized orthorhombic structures of  $\text{Al}_2\text{O}_3$  (a), where the corresponding  $\text{C}_{\text{Al}}$  and  $\text{C}_{\text{O}}$  are shown in (b) and (c), respectively. Similarly, the optimized tetragonal structure (d) with  $\text{C}_{\text{Al}}$  and  $\text{C}_{\text{O}}$  are shown in (e) and (f), respectively. Here, Al, O, and C are represented by blue, red, and brown colored solid spheres, respectively.

coordinated polyhedral units with increasing ion fluence, it is, therefore, expected to have more chances of substitution of Al by carbon in tetrahedral sites.

Given the XPS and XAS results, the reason behind the 465 nm PL with longer lifetime than that of F center related 410 nm emission can be understood on the ground of evolution of  $\text{C}_{\text{Al}}$  and  $\text{V}_{\text{O}}$  in the AAO matrix and the corresponding energy transfer probability with increasing carbon ion fluence. In the case of inorganic insulating phosphors, the delayed fluorescence (phosphorescence) property arises not only for having both intrinsic and extrinsic point defects, but also for their spatial distribution.<sup>56</sup> When dopants act like luminescent centers (LCs), the defect distribution around such LCs can have strong influence on the emission energy, its intensity, and lifetime. But, such distribution of the cationic dopants around anionic vacancies is not random.<sup>56</sup> In our case, XPS result indicates that a fraction of carbon acts as cationic impurities ( $\text{C}_{\text{Al}}$ ) as they prefer to sit at Al sites and thereby make bonds with the nearby oxygen atoms. That could be the possible reason behind the enhancement of C—O bonds with increasing ion fluence. The other fraction of carbon, however, does not prefer to go to O site ( $\text{C}_{\text{O}}$ ), while makes bonds to other carbon atoms and causes the observed differential charging. In this context, the ion implantation technique might play a crucial role as this cannot only introduce dopants, but also create intrinsic defects like  $\text{V}_{\text{O}}$  before terminating into the AAO matrix by losing energy via collision in cascade. This is also consistent with the XAS results at the O-K edge, showing ion fluence driven introduction of structural disorder leading to the formation of  $\text{V}_{\text{O}}$  and also with the O 1s XPS analysis. It appears from our study that the concentration and interaction of both  $\text{C}_{\text{Al}}$  and  $\text{V}_{\text{O}}$  are maximum in  $\text{Q}_3$ .

This is also reflected in the average lifetime ( $\tau_{\text{avg}}$ ) including the individual component and their relative weight percentages (see Table I), where the reason behind the observed variation for the 465 nm PL emission can be discussed in the framework of three possible optical transition processes. We believe that  $\tau_1$  would be associated with  $\text{V}_{\text{O}}$  itself as this has also been detected in  $\text{Q}_0$ . But, the second and third components,  $\tau_2$  and  $\tau_3$ , are most probably governed by the involvement of  $\text{C}_{\text{Al}}$  and  $\text{V}_{\text{O}}$ . This is also in agreement with the relative weightage of the respective components. One can also see that  $\text{V}_{\text{O}}$  dominates in  $\text{Q}_0$  (as reflected from  $\alpha_1$ ) and reduces significantly in  $\text{Q}_3$  due to parallel rise in  $\text{C}_{\text{Al}}-\text{V}_{\text{O}}$  emission channels by doping carbon impurity. Since very few  $\text{V}_{\text{O}}$  comes close to  $\text{C}_{\text{Al}}$  centers in  $\text{Q}_1$  and  $\text{Q}_2$ , the active participation of the  $\text{C}_{\text{Al}}-\text{V}_{\text{O}}$  emission channels cannot dominate. A drastic variation can only happen at the highest ion fluence ( $\text{Q}_3$ ), as it is expected that the majority of  $\text{V}_{\text{O}}$  are situated in close vicinity of  $\text{C}_{\text{Al}}$  and thereby accelerating the energy transfer process. In fact, comparing the present PL results with the recorded XAS, it appears that most of the newly created  $\text{V}_{\text{O}}$  under ion bombardment are participating in forming the  $\text{C}_{\text{Al}}-\text{V}_{\text{O}}$  emission channels, instead of forming vacancy clusters. However, we cannot discard the possibility of radiative recombination of electrons and holes within the isolated  $\text{V}_{\text{O}}$ , which are staying apart from the  $\text{C}_{\text{Al}}$  sites. Note that the individual lifetime ( $\tau_3$ ) of  $\text{C}_{\text{Al}}-\text{V}_{\text{O}}$  is comparatively low in  $\text{Q}_3$ . As the  $\text{C}_{\text{Al}}$  and  $\text{V}_{\text{O}}$  are more in number, the chances of pair formation are more, and for the same reason, the average distance should be less. This, as a result, lowers the lifetime of the individual defect state.<sup>56</sup> At the same time, the increase of  $\tau_1$  value could be due to the change in the local structure around  $\text{V}_{\text{O}}$  as supported by the pre-edge feature in XAS spectra (Fig. 5).



## CONCLUSIONS

In summary, we demonstrate the emission of blue PL and the corresponding bluish white phosphorescence of AAO by controlled carbon ion implantation up to a fluence of  $1 \times 10^{16}$  ions/cm<sup>2</sup>. Detailed C 1s XPS analysis showed the formation of cationic impurity (C<sub>AI</sub>) in carbon implanted AAO, in agreement with our theoretical results, while O 1s analysis reveals the monotonic rise of V<sub>O</sub> with increasing ion fluence. Further, the XAS measurement at the O-K edge revealed the modification of the local structure, especially the appearance of pre-edge, which was elucidated on the framework of the formation of V<sub>O</sub> with increasing ion fluence. A direct correlation between C<sub>AI</sub> and V<sub>O</sub> was shown to be responsible for 465 nm PL emission, while the lifetime measurement confirmed the increase in average lifetime from ~6.7 to ~10.4 μs up to a fluence of  $1 \times 10^{16}$  ions/cm<sup>2</sup>. Finally, the observed phosphorescence has been discussed in the light of energy transfer between V<sub>O</sub> and C<sub>AI</sub>. The present observations altogether indicate that carbon implanted AAO could be the benchmark for future development of AAO based nontoxic phosphor.

## SUPPLEMENTARY MATERIAL

See the [supplementary material](#) for the fitted values of the XPS spectra of the O 1s and C 1s lines before and after carbon doping in porous alumina (Table S1).

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