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Respiratory Disease in Relation to Outdoor Air Pollution in Kanpur, India

Hai-Ying Liu, PhD; Alena Bartonova, RNDr; Martin Schindler, PhD; Mukesh Sharma, PhD; Sailesh N. Behera, PhD; Kamlesh Katiyar, PhD; Onkar Dikshit, PhD

ABSTRACT. This paper examines the effect of outdoor air pollution on respiratory disease in Kanpur, India, based on data from 2006. Exposure to air pollution is represented by annual emissions of sulfur dioxide (SO₂), particulate matter (PM), and nitrogen oxides (NO_x) from 11 source categories, established as a geographic information system (GIS)-based emission inventory in 2 km × 2 km grid. Respiratory disease is represented by number of patients who visited specialist pulmonary hospital with symptoms of respiratory disease. The results showed that (1) the main sources of air pollution are industries, domestic fuel burning, and vehicles; (2) the emissions of PM per grid are strongly correlated to the emissions of SO₂ and NO_x; and (3) there is a strong correlation between visits to a hospital due to respiratory disease and emission strength in the area of residence. These results clearly indicate that appropriate health and environmental monitoring, actions to reduce emissions to air, and further studies that would allow assessing the development in health status are necessary.

[Supplementary materials are available for this article. Go to the publisher's online edition of *Archives of Environmental & Occupational Health* for material on emission of SO_2 , PM, NO_x from various sources, and total number of inhabitants, total number of patients in grid squares covering the Kanpur city.]

KEYWORDS: emission, outdoor air pollution, patients, respiratory disease

t is well known that air pollution causes respiratory and cardiovascular diseases.^{1–3} Economic development, urbanization, energy consumption, transportation, and rapid population growth are major driving forces of air pollution in large cities, especially in megacities.⁴ Air pollution levels in developed countries have been decreasing dramatically in recent decades. However, in other countries, air pollution levels are still relatively high, although the levels have been gradually decreasing or have remained stable despite rapid economic development.^{4,5} In recent years, several hundred epidemiological studies, time-series studies in particular, have been conducted in developed countries, on shortand long-term effects of air pollution on human health covering different age groups, including children and young and old adults.^{6–8} Research has shown that long-term exposure to air pollutants increases the risk of respiratory illnesses such as allergies, asthma, chronic obstructive pulmonary disease, and lung cancer.^{9,10} Children and elderly persons are particularly vulnerable to health effects of ozone (O₃), particulate matter (PM), and other airborne toxicants.^{11,12} Hospital admissions have been recognized as a more sensitive marker than mortality for assessment of the air pollution effects on human health.^{13,14} A literature review on outdoor air pollution and health in Asia identified over 400 studies of health effects of air pollution in 13 countries during the period 1980 to 2007.¹⁵ Over 80 time-series studies conducted in Asian cities also showed similar spectrum of adverse health effects from acute and chronic respiratory symptoms and changes in pulmonary function to increased mortality from cardiovascular or respiratory diseases or lung cancer, associated

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with exposure to PM, sulfur dioxide (SO₂), nitrogen dioxide (NO₂) and O₃, to those explored in Europe and North America.^{15–17}

Air pollution poses a global challenge, as adverse effects still exist even at relatively low air pollutant concentrations, and there may not be any safe or threshold pollution levels. The air pollution problem is more severe in Asian countries due to high pollution levels and high population densities.^{4,15–17} Important gaps still remain, as the studies do not necessarily cover enough variations in urban settlements, pollution levels, and economic conditions.¹⁵

Many of the Indian cities are highly polluted and available mortality and morbidity statistics indicate that respiratory infections and chronic conditions are widespread.^{18–24} However, there are very few Indian studies that link air pollution exposure to lung function deterioration, underlining the need for systematic studies.²¹

We carried out a pilot study to examine the correlation between outdoor air pollution (represented as annual emissions) and hospital visits due to respiratory disease in Kanpur (latitude $26^{\circ}45'$ north and longitude $80^{\circ}15'$ east; Figure 1), one of the most populous (4 million persons), industrialized, and polluted cities in India.^{18,22,25} Pollution loads/emissions were estimated for SO₂, PM, and nitrogen oxides (NO_x), whereas respiratory diseases were estimated from total number of registered respiratory patients visits to the largest chest and tuberculosis hospital (Lala Lajpat Rai [LLR] Hospital) in Kanpur, Uttar Pradesh, India.^{22,26} This hospital receives patients from the whole district.

The broad objective of this study was to develop a methodology for air pollution health impact assessment, and to apply this approach in the city of Kanpur. The specific objective was to assess population-wide health effects of air pollution. This study lays a basis for further environmental health assessments, and provides rationale for improved air quality monitoring as well as mitigation measures.

METHODS

Data collection

Sampling Grids for Emission Assessment

The entire 28 km \times 22 km area including the city was divided into 154 grids of equal size of 2 km \times 2 km. Coordinates of the center point in each grid were recorded by the



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Center point number	Stations	Latitude	Longitude	Lands use types
1	Sidbi Centre	26°30′36″	80°13′48″	Institutional
2	Vikas Nagar	26°29′24″	80°17′24″	Residential
3	Dada Nagar	26°28′12″	80°20′24″	Commercial
4	Colonel Ganj	26°28′12″	80°20′24″	Commercial
5	Pared	26°27′00″	80°17′24″	Industrial
6	Ramadevi	26°26′24″	80°19′12″	Residential
7	Juhilal Colony	26°24′36″	80°23′24″	Residential

Global Positioning System (GPS) in Universal Transverse Mercator (UTM) system. The other recorded items include the grid number and land use type. Seven key grids inside the city (Table 1), having varied land use types, were studied in detail by conducting house to house surveys of each polluting activity in the grid (Figure 2).

Pollution Sources

Pollution sources taken into account in the emission inventory include vehicles, domestic fuel burning, garbage burning, restaurants, diesel generators sets, medical-waste incinerators, funeral pyre burning, industries with stack height lower than 25 m (area sources), industries with stack height higher than 25 m (point sources), soil-road dust, construction and demolition recycling (Supplement Files 1–3). For point sources, the recorded items include the point sources number, grid number, industry name, production capacity (t/day), fuel consumption (t/day, fuel type), stack height (m), and latitude and longitude (Figure 2, Table 2).

Emissions as Surrogate Measure of Exposure

Each of the 154 grids was assigned a land use type based on the landscape map from the Central Pollution Control Board (CPCB), Delhi, India. Six land use types were identified (ie, agricultural, commercial, industrial, institutional, protected, and residential) in the entire city (Figure 3).





Table 2.—Overvie	w of Industrial	Point Sources
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Point sources number	Grid number	Industries	Production capacity (t/day)	Fuel consumption (t/day, coal)	Stack height (m)	Latitude	Longitude
1	K033	Rice mill	48	0.64	30	26°29'40"	80°17′11″
2	K045	Thermal power plant	314	2,030	120	26°28'31"	80°14′26″
3	K075	Iron and steel industry	32	2.2	30	26°26'30"	80°17'10"
4	K075	Textile industry	7	700 L/day (diesel)	60	$26^{\circ}26'40''$	80°17′13″
5	K075	Rice mill	150	2	35	$26^{\circ}26'48''$	$80^\circ 17' 15''$
6	K075	Iron and steel industry	20	20 L/day (diesel) and 18 t/day (coal)	25	26°27′11″	80°17′20″
7	K075	Iron and steel industry	50	4.5	30	$26^{\circ}27'12''$	80°17′20″
8	K061-K075	Iron and steel industry	28	3	25	26°27'19"	$80^{\circ}17'10''$
9	K061-K075	Oil industry	2	2	25	$26^{\circ}27'13''$	$80^\circ 17'8''$
10	K061-K075	Textile industry	5	1	55	$26^{\circ}27'18''$	$80^\circ 17'2''$
11	K075	Rice mill	25	0.375	30	$26^{\circ}27'16''$	80°17′20″
12	K061	Textile industry	6	1	60	26°27'39″	80°17′19″
13	K061	Leather industry	5	960 L/day (diesel)	35	26°27'43"	80°17'21"
14	K061	Iron and steel industry	11	10 L/day (diesel) and 1 t/day (coal)	25	26°28′13″	80°17′42″
15	K077-K091	Oil industry	8	750 L/day (diesel)	30	$26^{\circ}26'15''$	80°19′42″
16	K077	Rice mill	36	0.48	35	$26^\circ 26' 24''$	80°19′52″
17	K077	Leather industry	5	5	35	$26^{\circ}26'31''$	80°19′55″
18	K077-K091	Rubber industry	10	2	30	$26^\circ 26' 14''$	80°19′27″
19	K108	Textile industry	12	1.2	80	26°24'43"	80°23'14"
20	K108	Rice mill	35	0.425	35	26°24'21"	80°23'11″



Based on the activity data of 7 grids with different land use, and 20 industries point pollution sources in detail (see Pollution Sources), the emissions in the other grids were obtained by mapping the 7 grids to the land use types of the other grids and by accounting for road length, number of vehicles on the road, and population in the grid. Emissions were estimated for PM, SO₂, and NO_x (for details of emission inventory, see Supplement Files 1, 2, 3).²⁶

In Kanpur, several monitoring stations are in place, operated by state and central authorities. Their placement is appropriate for air quality assessment, but not for estimating exposure. They offer daily time series, but do not capture the variability of the outdoor pollution field. For this reason, the study team decided to use the emission estimates as a surrogate measure of exposure. In this way, the time resolution is lost, but we gain exposure gradients within the city, which seems appropriate in relation to the nature of the other information in this study.

Health Data

Health data were collected from the LLR Hospital records. The data include grid number (representing residence of patients, Supplement File 4), age, sex, smoking status, occupation, respiratory symptoms, and resident location/street name. The data on 8,557 patients who visited the hospital at least once were collected for the period of January 10 to December 29, 2006. Quality assurance procedure required 2 physicians recording the data from journals to electronic form, and periodic double entries of portion of the data. The data entry resulted in 8,340 valid records. Of these, 3,948 had identified the domicile (home address). The large number of symptoms on the medical record was classified into 12 categories (Table 3).

Table 3.—Respiratory Disease Symptom Categories and Total Number of Patients Diagnosed in Each Category

Serial number	Symptoms	Total number of patients
1	Abdominal pain and epigastric pain	391
2	Breathlessness and dyspnea	4,318
3	Chest pain	3,446
4	Common cold	440
5	Bough	6,433
6	Fever	4,356
7	Hemoptysis and similar symptom	929
8	Loss of appetite	1,249
9	Weakness	475
10	Other aches and pains	626
11	Swellings	375
12	Other unspecified symptoms	544

Data analysis

The analysis aimed to relate the data on hospital visits to exposure index represented by the emissions. There are 3 emission parameters, and we first investigated their variability and dependencies (see Emission Clusters). Then, we investigated the relationship between the emissions and the hospital visits (see Correlation Between Air Pollution and Respiratory Disease), and we attempted to analyze the relationship between emissions and respiratory symptoms (see Analysis of Occurrence for a Respiratory Disease Symptom). Finally, we have investigated the temporal pattern of the hospital visits (see Analysis of Seasonal Differences). R version 2.7.1,^{27–29} packages "cluster" and "rgl"³⁰ and clustering functions "kmeans" and "pam," were used for data analysis. ArcGIS 9.0 (ESRI, Redlands, CA, USA) was used for all data creation and map illustration.

A cluster analysis³¹ was conducted on the 3-dimensional emission data (SO₂, NO_x, PM) for each grid. The aim of this analysis is to group grids into certain number of clusters based on the level of air pollution load in the grids. We included emission data from all the pollution sources (see Pollution Sources), disregarding the point source from stacks higher than 25 m that are likely to influence larger area than the immediate grid. This step allowed us to conduct the analysis using statistical methods without incorporation of spatial dependence or autocorrelation, only using classical logistic regression model. We disregard also the observations in grids for which "land use" type is "protected." For clustering, we used R functions "kmeans" and "pam" (a robust version of "kmeans") from package "cluster." Within groups, "sum of squares" and "average silhouette plots" were used to determine the optimal number of clusters.

The relative number of hospital visits due to respiratory disease/symptoms was compared between the 4 clusters. In this analysis, the total number of inhabitants in each cluster was taken into account. The Pearson's chi-square test³² for independence in the contingency table of number of respiratory patients and number of inhabitants who did not visit the hospital was conducted. Furthermore, we used a logistic regression^{33–35} to model an occurrence of a patient visit in LLR Hospital in relation to the cluster to which the home grid square belongs. The people who did not visit the hospital are the control group.

Further, the effect of time of the year on hospital visit occurrence was assessed. The Pearson's chi-square test for independence in the contingency table of number of patients in each of 12 months classified by the cluster was performed (Table 7). The Wilcoxon 2-sample test³⁶ was conducted to assess differences between winter and summer seasons.

RESULTS AND COMMENT

Emission sources

The emission inventory estimates (total annual emissions of SO_2 , NO_x , and PM expressed as t [ton] or kg [kilogram]



per day) showed that the main sources for outdoor air pollution are industries (37,147 kg/day), domestic fuel burning (8,455 kg/day), and vehicles (8,172 kg/day) (Supplementary Files 1, 2, 3).

Of total 7.6 t/day SO₂ emissions, industrial sources accounted for nearly 50% of total emission and the remaining 50% is attributed to other sources such as domestic fuel burning, vehicles, etc. (Supplementary File 1).

PM emissions accounted for 7.0 t/day. Forty-five percent of these emissions are attributed to domestic fuel burning. This source is followed by vehicles (20%), soil-road dust (18%), garbage burning (8%), and others (9%) (Supplementary File 2).

A total NO_x emission load of 19 t/day has been estimated within the city. The breakdown of emissions is as follows: industrial point sources (43%), vehicles (33%), domestic fuel burning (11%), industry area source (6%), diesel generator set (6%), and others (1%) (Supplementary File 3).

 SO_2 , NO_x , and PM emissions in grids are highly correlated as an artifact of the methodology, due to the fact that all 3 pollutants are emitted from most of the sources, and because each grid, even if classified into one land use type, usually has a mix of sources (Figure 4). From Figures 4 and 5, we can see that the values of SO_2 and NO_x are much higher in the industrial regions than in the institutional regions.

Emission clusters

The cluster analysis showed that the optimal number of clusters is either 2 or 4. For more exposure resolution, we chose to use 4 clusters. Table 4 provides emission levels for each variable of SO_2 , PM, and NO_x within each of the 4



clusters. From Figure 5, we can see that the values of SO_2 and NO_x are much higher in the cluster 4 than in other clusters. Of the 154 grid cells, 78 that lie within the city limits were classified into 4 distinct pollution groups (ie, cluster) are seen in Figure 6.

Health assessment

Total Population in Each Emission Cluster

The spatial variation of number of inhabitants in each cluster is shown in Figure 7. Population is slightly lower in the very highly polluted regions (eg, a total of 232,224 people live in the regions within emission cluster 4). The highest number of inhabitants (748,457) lives in moderately highly polluted areas (ie, cluster 2; Figure 7).

Correlation Between Air Pollution and Respiratory Disease

In each level of emissions, the total populations, the total number of people that have visited hospital, and the total

Cluster number	SO ₂ (kg/day/grid)	PM (kg/day/grid)	NO _x (kg/day/grid)
1	36.08	44.57	39.00
2	46.57	75.72	104.23
3	62.19	134.76	194.15
4	120.16	259.34	434.97



Table 5. Cluster V Number	5.—Total Number of Inhabitants in Each er Who Visited the LLR Hospital and the Total ber of the Control Group (see Data Analysis)								
Patients		Clu	ister						
	1	2	3	4					
Yes	466	1,274	1,553	781					
No	448,863	747,183	448,925	231,443					

number of people that have not visited the hospital are incorporated into this analysis (Table 5).

Pearson's chi-square test (df = 3, chi-square = 835.52, p < .0001) showed that the relative number of patients who visited the hospital per number of inhabitants in each cluster is much higher in the highly polluted regions (clusters 3 and 4; Figures 8, 9) than in the less polluted regions (cluster 1); the ratio in the polluted cluster 3 and 4 is higher than 0.003 (Figure 8).

To see to what extent the type of analysis affects the results, we have also used a logistic regression to model visits to the respiratory hospital in relation to the level of emissions. Cluster inhabitants not having visited the hospital represented the control group. The results were consistent: independent of the cluster variable type (eg, nominal, ordinal, or quantita-





tive), the level of emission significantly affects the probability of visit to the hospital on any reasonable level (p < .0001; Table 6).

The 95% simultaneous confidence interval (CI) ("familywise") for the difference of effect on hospital visits between all the pairs of emission clusters indicated that this probability significantly differs between each pair of emission clusters (pairwise Pearson's chi-square test, p < .001), except between the highly polluted cluster 3 and very highly polluted cluster 4 (Figure 9; Pearson's chi-square test, p = .59). There is no discernible difference in effect between emission levels of clusters 3 and 4; it can be attributed to a saturation effect that beyond a certain pollution level, there is no significant increase in the probability to visit to the hospital.^{37–39}

Table 7 provides further quantification of the above. It provides odds ratios (the value by which the relative risk of having respiratory disease is multiplied when we changed from one emission level to another), and 95% CIs of odds ratios. For instance, comparing emission clusters 1 (less polluted) and 3 (highly polluted), in the grids where the average pollution of SO₂ increases from 36.08 to 62.19 kg/day/grid, PM from 44.57 to 134.76 kg/day/grid, and NO_x from 39.00 to 194.15 kg/day/grid (Table 4), the relative risk of increasing respiratory diseases of the inhabitants is higher than 3.33 (95% CI: 2.91, 3.81)) (Table 7), which is a very high increase. This indicates that the respiratory disease morbidity is much higher in the highly polluted regions. This is consistent with findings that the levels of air pollution make a significant

Table 6.—Summary Results From Testing the Effect of Emission Classification Method (Quantitative, Ordinal, and Nominal Values for Exposure) on the Resulting Relationship With Hospital Visits Using Logistic Regression

Cluster (1, 2, 3, 4)		Estimate	SE	z value	Pr(> z)
Quantitative variable	c(1, 2, 3, 4)	0.42470	0.01599	26.57	<2e-16***
Ordinal variable	as.ordered(c(1, 2, 3, 4)).L	0.94894	0.04020	23.603	<2e-16***
	as.ordered(c(1, 2, 3, 4)).Q	-0.26049	0.03488	-7.469	8.07e-14***
	as.ordered(c(1, 2, 3, 4)).C	-0.21101	0.02857	-7.386	1.52e-13***
		df	Deviance	Residual deviance	Pr(>Chi)
Nominal variable	as.factor(c(1, 2, 3, 4))	3	830.48	0.00	2.2e-16***

Note. The null hypothesis is that all of the regression coefficients in the model are equal to zero. The z value is the Wald statistics; Pr(>|z|) is the probability level of 2-tailed test; df is degrees of freedom; Pr(>Chi) is p value that define the probability of observing a chi-square statistic at least as observed under null hypothesis. c = cluster; L = linear; Q = quadratic; C = cubic; *** = the probability level of 2-tailed test or chi-square test is significant.

contribution to the variation in daily hospital administration for respiratory disease.^{1,2,3,39,40}

Similarly, by analyzing separately the individual groups of respiratory symptoms, we got the same result that emission levels 1 and 3 differ significantly for all symptoms, and there is no difference between emission levels 3 and 4 for any of the respiratory symptoms (Figure 10).

Analysis of Seasonal Differences

The aim of this analysis is to assess the effect of a certain time period (ie, months and seasons) on the distribution of relative number of inhabitants that visit hospital on each level of emissions, or an effect of each level of emissions on the distribution during the year. To avoid multiple testing problem and to clearly state what hypothesis we test, we chose month as time period (because this period could be appropriate, not too short or not too long, to capture seasonal effect on morbidity). We performed Pearson's chi-square test for independence in the hospital visits (Table 7) and obtained a p value of .29. Thus, there is not strong enough evidence to claim that the distribution of morbidity in the different emission levels depends on a certain month or that the distribution of morbidity during the year depends on a certain

Table 7.—Odds Ratios Quantifying Increased Risk of Visiting the LLR Hospital Between the Emission Clusters								
Contrasts between clusters	Estimate	95% CI	Odds ratio	95% CI				
2 and 1	0.50	0.36, 0.63	1.64	1.43, 1.89				
3 and 1	1.20	1.07, 1.34	3.33	2.91, 3.81				

4 and 3	-0.02	-0.14, 0.09	0.98	0.87, 1.09
4 and 2	0.68	0.57, 0.80	1.98	1.76, 2.22
3 and 2	0.71	0.61, 0.80	2.03	1.84, 2.24
4 and 1	1.18	1.03, 1.33	3.25	2.80, 3.78
3 and 1	1.20	1.07, 1.34	3.33	2.91, 3.81

Note CI = confidence interval.

emission level. Table 8 presents total number of inhabitants who visited the LLR hospital in each month with residence in each of the emission clusters.

Another question we can ask is: Does the morbidity change during the year regardless of the emission level? Table 9 presents the number of patients for each month without considering the emission level. We divided 12 months into 2 samples. The first sample consists of the summer months (April to September) and the second sample consists of the remaining winter months. The Wilcoxon 2-sample test was conducted, with the result (p = .015) showing that there is a significant difference for respiratory disease morbidity between summer and winter months. It remains, however, not clear what seasonal factors affect the hospital visits (eg, extreme weather conditions in summer months, high pollution load in winter months), or if the hospital visits are mainly related to specific respiratory symptoms.

Table 8.—Total Number of Inhabitants Who Visited the LLR Hospital in Each Month, With Residence in Each of the Emissions Cluster (for Patients With Identified Address in the Urban Area—78 Clusters)

		Clu	ster	
Month	1	2	3	4
1	30	95	129	57
2	44	99	128	71
3	45	111	161	66
4	44	106	139	55
5	45	98	121	73
6	36	107	131	71
7	32	106	133	75
8	47	126	127	69
9	29	119	131	77
10	28	71	109	62
11	48	113	117	52
12	19	70	88	38

	Month											
	1	2	3	4	5	6	7	8	9	10	11	12
Total number of patients	555	684	738	680	747	784	772	837	768	581	732	462







Analysis of Occurrence for a Respiratory Disease Symptom

A logistic regression model with the occurrence of the symptom as the response and the variables cluster, sex, and age as the regressors showed that only the symptoms 4 (common cold), 5 (cough), and 6 (fever) are significantly

impacted by the emission level. Common cold and cough are less frequent in the higher polluted regions, whereas fever occurs more likely in the higher polluted regions. However, symptom recording and classification is perhaps the part of the retrospective data collection that is most subjective and thus least rigorous, and this may affect the result.



Conclusions and recommendations

The main sources for outdoor air pollution in Kanpur are industries, domestic fuel burning, and vehicles. An emission inventory for the urban area was established, and local emissions in the 2 km \times 2 km grid square representing the home address of Kanpur inhabitants were used as a surrogate measure of exposure to outdoor air pollution. There is clear evidence that outdoor air pollution is associated with cardiopulmonary diseases. We did not investigate the effects on cardiovascular disease in this study, but we have shown that people living in the more polluted regions had a higher risk of hospital visits related to respiratory diseases than those living in the less polluted areas. This phenomenon is in accordance with other study results.^{38,40–45} Our study has several limitations. The exposure is represented by emission category (cluster) for each individual home. This has both advantages (being a more robust method), and disadvantages; it forces the analysis into a cross-sectional mode, considering a cumulative or long-term effect of pollution on respiratory health.⁴⁶ The retrospective data collection, especially to record respiratory symptoms, poses also some difficulties.

Our study could not consider time lags between air pollution and the occurrence of respiratory disease morbidity^{47,48} due to a lack of temporal data on air pollution. Despite these shortcomings, we did show a clear relationship between pollution and respiratory disease. Clearly, more information is available in the data than we have used in this analysis, but we feel that our result is robust, and should be sufficient to trigger further work. In the future, this analysis can be supplemented by adding daily variability in pollution concentrations, allowing an analysis of short-term effects.

All the findings from this study suggest that long-term, systematic, prospective epidemiological studies on exposure to air pollution and its respiratory health effects are needed.⁴⁹

This paper indicates that the polluted air was a clear threat to human health in Kanpur in 2006. This situation is alarming. Mitigation will require a combination of technical measures on all sources (this study was conducted before the massive conversion of the public transport to compressed natural gas) and urban planning. Also, it is necessary to establish adequate monitoring systems both for air quality and for human health, to be able to assess the trends, and the effectiveness of measures taken.

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